

Execution Charges

Online Execution Rate
Fee description: Basis point charge on consideration of all trades (excluding placements) executed online via Iress or FinClear TradeCentre
Breakdown tab: Domestic Contracts, Column Q

Trade Execution - Standard
Fee description: Basis point charge on consideration of all trades (excluding placements) executed online via Iress or FinClear TradeCentre
Breakdown tab: Domestic Contracts, Column Q

Trading Desk Rate
Fee description: Basis point charge on consideration of all trades (excluding placements) executed via the FinClear trading desk per phone/email instruction
Breakdown tab: Domestic Contracts, Column Q

Flat rate
Fee description: Flat rate charge on total number of contract notes (STP and non-STP), charged per order
Breakdown tab: Domestic Contracts, column AJ (order number)

Other Charges

Algo usage
Fee description: Recharge for prior month Algo rental usage
Breakdown tab: Algo

URW French Transfer Tax Admin Fee
Fee description: Fee applies for each separate order placed in respect of URW CDI's and reflects the extra administration, manual booking procedures an cross currency risk undertaken by FinEx for the collection and payment of the Transfer Tax on your behalf.
Breakdown tab: URW

Adjustments

Corporate Actions
Fee description: Settlement agent placement fee
Breakdown tab: ManualTxns

Monthly Share Buyback Fee
Fee description: Monthly fee for each month the Buy Back continues
Breakdown tab: ManualTxns

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AAA PTY LTD - SAMPLE ONLY
Level 8, 180 Hunter Road, North Sydney, NSW

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Service Fees Options

Other Charges and Adjustments
Other Charges

Algo usage	
URW French Transfer Tax Admin Fee	

Adjustments	
Corporate Actions	
Monthly Share Buyback Fee	

TAX INVOICE

QTY/VALUE	RATE	CHARGE	GST	TOTAL
234,535.23	0.0010	152.62	15.26	167.88
0.00	0.0050	0.00	0.00	0.00
7.00	18.00	126.00	12.60	138.60
0.00	0.0003	0.00	0.00	0.00
2	15.00	30.00	3.00	33.00
3	10.00	30.00	3.00	33.00
2	25.00	50.00	5.00	55.00
1.00	7.00	7.00	0.70	7.70
1.00	2.00	2.00	0.20	2.20
1.00	50.00	50.00	5.00	55.00
1	15.00	15.00	1.50	16.50
4	1.00	4.00	0.40	4.40
SUB-TOTAL		466.62	46.66	513.28
SUB-TOTAL		0.00	0.00	0.00
3.00	1.000000	3.00	0.30	3.30
3.00	50.0	150.00	15.00	165.00
SUB-TOTAL		153.00	15.30	168.30
1.00	2,000.0	2,000.00	200.00	2,200.00
1.00	500.00	500.00	50.00	550
SUB-TOTAL		2,500.00	250.00	2,750.00
INVOICE TOTAL		3,119.62	311.96	3,431.58

Finclear Execution Ltd
AFS License No. 000246842
ABN 56 061 751 102
Market Participant of ASX

Clearing Charges

Clearing STP
Fee description: Flat fee charged on total number of contracts, charged per order
Breakdown tab: Domestic Contracts

Manual Booking
Fee description: Where manual intervention is required e.g. trade allocation from suspense
Breakdown tab: ManualBookings

Booking Correction
Fee description: Where a correction is required to a booking e.g. reversal, amendment
Breakdown tab: BookingsCorrections.

Non STP Contracts
Fee description: Where the trade is not automatically processed e.g. contract notes are posted, trade paid for by deposit to trust account
Breakdown tab: Domestic Contracts, column AE - 'Clr. Model' with value "NON"

Printing and mailing of contract notes
Fee description: Cost to print and post a paper contract note (this is in addition to non-STP fee).
Breakdown tab: Domestic_MailedConfirmations and Intl_MailedConfirmations

Direct Debit Dishonour
Fee description: Where FinClear have drawn funds via direct debit but the debit has been rejected by the bank
Breakdown tab: Journals

SRN Request
Fee description: Charged per search for a Security Reference Number
Breakdown tab: SrnRequest

New Accounts
Fee Description: Fee per new account opened
Breakdown tab: NewAccounts

